

11055 U.S. PTO
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PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 0002045.0001
First Inventor Narasimhan et al.
Title SYSTEM AND METHOD FOR COLLECTING, ...
Express Mail Label No. EI367795487US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 39]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 10]
5. Oath or Declaration [Total Pages]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____ /

Prior application information: Examiner _____

Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Thomas J. Parker				
	PATENT & TRADEMARK OFFICE				
	Coudert Brothers				
Address	1114 Avenue of the Americas				
City	New York	State	NY	Zip Code	10036
Country	U.S.A.	Telephone	(212) 626-4187	Fax	(212) 626-4120

Name (Print/Type) Thomas J. Parker

Registration No. (Attorney/Agent)

42,062

Signature

Thomas J. Parker

Date

August 9, 2001

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$799.00

Complete if Known

Application Number	TBA
Filing Date	Augst 9, 2001
First Named Inventor	Narasimhan et al.
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	0002045.0001

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-1434**
Deposit Account Name

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR § 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	355.00		
106	320	206	160	Design filing fee			
107	490	207	245	Plant filing fee			
108	710	208	355	Reissue filing fee			
114	150	214	75	Provisional filing fee			
SUBTOTAL (1)							\$355.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
56	-20** = 36	9.00	324.00
5	-3** = 2	40.00	80.00
Multiple Dependent		49.00	404.00

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20		
102	80	202	40	Independent claims in excess of 3		
104	270	204	135	Multiple dependent claim, if not paid		
109	80	209	40	** Reissue independent claims over original patent		
110	18	210	9	** Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) \$404.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath			
127	50	227	25	Surcharge - late provisional filing fee or cover sheet			
139	130	139	130	Non - English specification			
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination			
112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
115	110	215	55	Extension for reply within first month			
116	390	216	195	Extension for reply within second month			
117	890	217	445	Extension for reply within third month			
118	1,390	218	695	Extension for reply within fourth month			
128	1,890	228	945	Extension for reply within fifth month			
119	310	219	155	Notice of Appeal			
120	310	220	155	Filing a brief in support of an appeal			
121	270	221	135	Request for oral hearing			
138	1,510	138	1,510	Petition to institute a public use proceeding			
140	110	240	55	Petition to revive - unavoidable			
141	1,240	241	620	Petition to revive - unintentional			
142	1,240	242	620	Utility issue fee (or reissue)			
143	440	243	220	Design issue fee			
144	600	244	300	Plant issue fee			
122	130	122	130	Petitions to the Commissioner			
123	50	123	50	Processing fee under 37 CFR § 1.17(q)			
126	180	126	180	Submission of Information Disclosure Statement			
581	40	581	40	Recording each patent assignment per property (times number of properties)			
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))			
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))			
179	710	279	355	Request for Continued Examination (RCE)			
169	900	169	900	Request for expedited examination of a design application			
Other fee (specify) <u>Assignment Filing Fee</u>							40.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40.00

SUBMITTED BY

Name (Print/Type)	Thomas J. Parker	Registration No. (Attorney/Agent)	42,062	Telephone	(212) 626-4187
Signature				Date	August 9, 2001

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Narasimhan et al.

Docket No.

0002045.0001

Serial No.

TBA

Filing Date

August 9, 2001

Examiner

TBA

Group Art Unit

TBA

Invention:

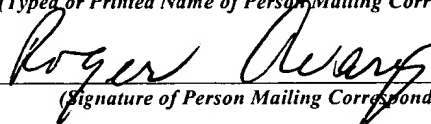
**SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION
USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Utility Patent Application Transmittal Form

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 9, 20001*(Date)*Roger Avary*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EI367795487US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Narasimhan et al.**

Docket No.

0002045.0001

Serial No.

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TBA

Group Art Unit

TBA

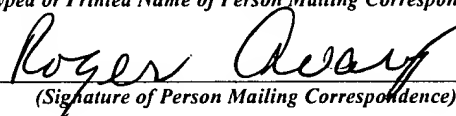
Invention:

**SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION
USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Fee Transmittal Form for FY 2000*(Identify type of correspondence)*

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August 9, 2001*(Date)***Roger Avary***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EI367795487US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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Applicant(s): Narasimhan et al.

Docket No.

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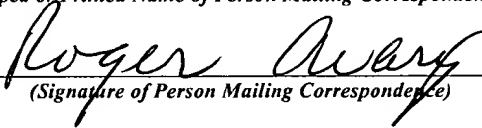
Invention:

**SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION
USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Declaration for Utility Patent Application*(Identify type of correspondence)*

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*(Signature of Person Mailing Correspondence)*EI367795487US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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Applicant(s): Narasimhan et al.

Docket No.

0002045.0001

Serial No.

TBA

Filing Date

August 9, 2001

Examiner

TBA

Group Art Unit

TBA

Invention: **SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Specification 28 page (s), Claims 11 page(s), Abstract 1 page(s); and Figures 1-10 (10 sheets)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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*(Signature of Person Mailing Correspondence)*EI367795487US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

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Applicant(s): Narasimhan et al.

Docket No.

0002045.0001

Serial No.

TBA

Filing Date

August 9, 2001

Examiner

TBA

Group Art Unit

TBA

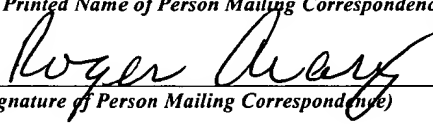
Invention: **SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Assignment of Rights in Invention

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 9, 2001*(Date)*Roger Avary*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EI367795487US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Narasimhan et al.

Docket No.

0002045.0001

Serial No.

TBA

Filing Date

August 9, 2001

Examiner

TBA

Group Art Unit

TBA

Invention:

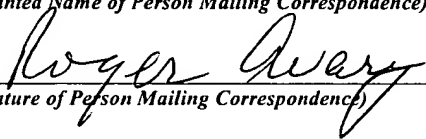
**SYSTEM AND METHOD FOR COLLECTING, DISSEMINATING AND MANAGING INFORMATION
USING A VOICE AND DATA BASE SYSTEM**

I hereby certify that the following correspondence:

Power of Attorney

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

August 9, 20001*(Date)*Roger Avary*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EI367795487US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**